

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: GENENTECH, INC.

Application No./Patent No.: 09/520,130

Filed/Issue Date: March 7, 2000

Entitled: METHOD FOR MAKING MULTISPECIFIC ANTIBODIES HAVING HETEROMULTIMERIC AND COMMON COMPONENTS

GENENTECH, INC., a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which **a copy thereof is attached.**

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Terri Shieh-Newton/

February 15, 2008

Signature

Date

Terri Shieh-Newton

(650) 813-5777

Printed or Typed Name

Telephone Number

Attorney of Record for Assignee

Title

146392003701

ASSIGNMENT

WHEREAS, Robert Arathoon, a citizen of Great Britain, residing at 1620 Lexington Avenue, San Mateo, California 94402, and Paul J. Carter, a citizen of Great Britain, residing at 2074 18th Avenue, San Francisco, California 94116, and Anne M. Merchant, a citizen of U.S.A., residing at 2000 Crystal Springs Road, Apt. 1-31, San Bruno, California 94066, and Leonard G. Presta, a citizen of U.S.A., residing at 1900 Gough Street, No. 206, San Francisco, California 94109, (hereinafter "ASSIGNORS"), have invented a new and useful invention in

A METHOD FOR MAKING MULTISPECIFIC ANTIBODIES HAVING HETEROMULTIMERIC AND COMMON COMPONENTS

for which an application Serial No. 09/070,416 (Docket No. P1099R2) for Letters Patent has been filed by them on April 30, 1998; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.


The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: 6/6/98


W. Robert Arathoon

South San Francisco

Dated: _____

Paul J. Carter

South San Francisco

Dated: _____

Anne M. Merchant

South San Francisco

Dated: _____

Leonard G. Presta

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;


South San Francisco

Dated: _____

Robert Arathoon

South San Francisco

Dated: 6/2/48



Paul J. Carter

South San Francisco

Dated: _____

Anne M. Merchant

South San Francisco

Dated: _____

Leonard G. Presta

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Robert Arathoon

South San Francisco

Dated: _____

Paul J. Carter

South San Francisco

Dated: 20 June 1998

74 June 21 1998
Anne M. Merchant

South San Francisco

Dated: _____

Leonard G. Presta

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF we undersign as follows;

South San Francisco

Dated: _____

Robert Arathoon

South San Francisco

Dated: _____

Paul J. Carter

South San Francisco

Dated: _____

Anne M. Merchant

South San Francisco

Dated: June 1, 1998

Leonard G. Presta
Leonard G. Presta